

**State of Rhode Island and Providence Plantations
DEPARTMENT OF BUSINESS REGULATION (DBR)
1511 Pontiac Avenue, Bldg. 68-2
Cranston, Rhode Island 02920**

**Division of
Design Professionals BOARD OF EXAMINATION AND
REGISTRATION OF ARCHITECTS
MEETING MINUTES**

DATE: December 16, 2013

**PLACE: Department of Business Regulation (DBR)
1511 Pontiac Avenue, Bldg 68-1 Conference Room
Cranston, RI 02920**

MEMBERS PRESENT: Chair Barbara Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor

MEMBERS ABSENT: Member David L. DeQuattro

**OTHERS PRESENT: Dawne Broadfield, Board Executive
Michael Armstrong, Chief Executive Officer**

**National Council of Architectural Registration Boards (NCARB)
(Present from 10:22 a.m. – 12:25 p.m.)**

**Katherine E. Hillegas, Director of Council Relations
National Council of Architectural Registration Boards (NCARB)
(Present from 10:22 a.m. – 12:25 p.m.)**

CALLED TO ORDER: Chair Feibelman called the meeting to order at 9:09 a.m.

A. MINUTES OF THE MEETING OF THE BOARD

(1) Vice-Chair Prengaman moved to approve the open meeting minutes of November 18, 2013 with a correction in Old Business (C)(2). Member Grosvenor seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman and Member John K. Grosvenor. Secretary Christian J. Ladds abstained since he was not present at the last meeting. Member David L. DeQuattro were absent from this vote.

(2) Vice-Chair Prengaman moved to approve and seal the executive session meeting minutes of the November 18, 2013 as published. Member Grosevnor seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman and Member John K. Grosvenor. Secretary Christian J. Ladds abstained since he was not present at the last meeting. Member David L. DeQuattro were absent from this vote.

B. EXECUTIVE SESSION

(1) Member Grosvenor moved to convene into executive session at 9:10 a.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or

work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(2) Member Grosvenor moved to adjourn from executive session and to reconvene to an open meeting at 10:12 a.m. pursuant to R.I.G.L. §42-46-4. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

12/16/13, Page 1 of 6

(3) Member Grosvenor moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(4) Member Grosvenor moved to record the votes taken in executive session in accordance with RI General Laws §42-46-4 as follows: Motion was made to continue 1.A, B, C and D and no agenda items were closed. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(5) Member Grosvenor moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law §42-46-5(a). Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(6) In Executive Session there were no closed matters.

(7) In Executive Session pending matters, there are four (4) pending matters, comprised of zero (0) investigation matters and four (4) registration matters with one (1) absent with no recusals.

C. OLD BUSINESS

(1) Rules and Regulations – Status Update

Attorney Algee is working on the Rules and Regulations and will circulate an e-mail update after this meeting today.

(2) Legislation-Possible Legislative Changes

- Percentage of Ownership**
- Nested Entities**

Background information was given that the Board has struggled in the past several years with Certificates of Authorization (COAs). Time is spent looking at the COAs to meet the law. New structures are being submitted that do not make sense to the Board. One of the structures seen is what the Board calls a “nested entity.” There might be a limited liability company (LLC) where one of the members is a LLC. The Board’s law resembles NCARB Model Law that talks about the structures of the entities. The Board has always looked at the structure by the number of people. The Board had a case where the Board had a difference of opinion with its legal counsel. The Board has always looked at the “people” rule rather than “percentage of ownership.” The Board had a discussion with Daniel Taylor, NCARB Legal Counsel who indicated that NCARB Model Law was never intended to mean “percentage of ownership.”

Mr. Armstrong commented that NCARB is not supposed to be interested in the “business health” of the profession. The role of the regulatory body is to protect the public and not the profession.

- **Design Competition and Fishing**

The Board told the NCARB representatives that it tried to change its law to allow an out-of-state NCARB Certified Architect to participate in design competitions and fishing. The Board could not get the law changed. There are three (3) New England States that allow and three (3) New England States that do not allow.

Ms. Hillegas suggested that NCARB could open dialogue and have a Workshop at the Annual Meeting on these topics with possibly a charge to the Procedures and Documents Committee for review the could result in a possible change in NCARB Model Law.

It was resolved to separate design competition and fishing as issues.

Vice-Chair Prengaman moved to close and add to the list of 2014 Legislative Issues. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

12/16/13, Page 2 of 6

(3) Annual Meeting with the RI Building Officials Association

Chair Feibelman spoke to Warren Ducharme, RI Building Code

Commission, and there was a miscommunication about format of at the Expo and Seminar. Therefore, the Board could not make a presentation at that time. The Board then requested to attend a regularly monthly meeting. Mr. Ducharme will develop a power point presentation for review and presentation by the Board. A follow-up e-mail will be sent to Mr. Ducharme. This matter is continued.

(4) Authorized Stamp Dealers

The Board reviewed the template provided by Creative Print Management and asked them to submit a new template with the lower stems of the anchor matching the lower stem of the anchor as it appears on the Board's letterhead. The redesigned template was received.

Member Grosvenor moved to approve the final template graphics. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(5) AIA/RI 2013 Design Awards Celebration – December 5, 2013

- Newly Registered Architects to be recognized by the Board.

Member Grosvenor attended on behalf of the Board and presented the newly registered architects with a citation from the Governor's Office in their honor. Four (4) out of the eleven (11) attended. The Board felt that the recognition would have been better being held by

an event given by the Emerging Professionals Committee.

D. NEW BUSINESS

(1) Any other new business to come before the Board. No new business at this time.

E. National Council of Architectural Registration Boards (NCARB) Information and Updates)-(Review/Take Action)

(1) NCARB Announcements, Publications, etc.

(1) NCARB Visit

CEO Armstrong and Director of Council Relations Kathy Hillegas attended the December 16, 2013 meeting. CEO Armstrong noted that when he came on board with NCARB he needed to be accountable and transparent, so there is not a mystery. The NCARB representatives presented current and upcoming changes. They touch on the following areas: ARE 5.0; Internship; Pathway to Licensure; Accreditation Review Conference; Broadly Experienced Architect (BEA) and the Broadly Experienced Foreign Architect (BEFA); the new Research Library, Data Sharing; Disciplinary Database; Tracking Legislation, Mutual Recognition Agreement between NCARB and the Canadian Architectural Licensing Authorities (CALA), Rolling Clock deadline, and funding for the 2014 Regional Summit and Annual Meetings.

(2) NCARB Announcements, Publications, etc.

The Board Members told the NCARB representatives that they are very pleased with the announcements that they get today compared

to the past. The Board takes the time as this segment of the meeting to talk about any of the items, if necessary, mentioned in any of the announcements sent during the month.

F. APPLICATIONS

1. RECIPROCAL REGISTRATION REQUESTS FROM INDIVIDUALS REGISTERED IN OTHER STATES SEEKING REGISTRATION IN RHODE ISLAND

(A) Member Grosvenor moved to approve the following reciprocal registration requests as submitted with an expiration date of December 31, 2015. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(1) CHADHA, Sanjiv (4) SANTOS, Jose

(2) GEARY, Jeffrey (5) SOOS, Melanie B.

(3) MULLIGAN, Timothy (6) TURKEL, Thomas R.

(B) Member Grosvenor moved to approve the following reciprocal registration request as submitted with an expiration date of December 31, 2015. Secretary Ladds seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(1) COUNDOUSSIAS, Georgia

12/16/13, Page 3 of 6

2. REGISTRATION REINSTATEMENT REQUESTS FROM INDIVIDUALS REQUESTING REINSTATEMENT OF HIS/HER RHODE ISLAND REGISTRATION.

(A) Vice-Chair Prengaman moved to send a letter to Christopher Oscar Placco, (1517) reinstatement registration request asking for an outline of his activities for the past two (2) years since his registration lapsed and an explanation as to why the Board should renew his registration now. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(1) PLACCO, Christopher O. (1517)

(B) Vice-Chair Prengaman moved to approve the following reciprocal and reinstatement registration requests as submitted with an expiration date of December 31, 2015. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(1) DALLABATTISTA, Mauro (3) WILSON, Robert J. A. (1778)

(2) TODD, Gordon T. (2775)

(C) Vice-Chair Prengaman moved to approve the following reinstatement registration request as submitted with an expiration date of December 31, 2015. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(1) CLINTON, Edward (2968)

3. INITIAL REGISTRATION REQUESTS FROM INDIVIDUALS WHO HAVE COMPLETED THE REQUIRED EDUCATION, INTERN DEVELOPMENT PROGRAM (IDP) AND THE ARCHITECTURAL REGISTRATION EXAMINATION (ARE) THROUGH THE NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS (NCARB) DIRECT REGISTRATION PROGRAM

No requests at this time.

4. CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)

(A) Secretary Ladds moved to approve the applications for the Certificates of Authorization for the Sole Proprietorships for Georgia Coundoussias and Mark S. Wacescha, NCARB. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K.

Grosvenor. Member David L. DeQuattro were absent from this vote.

(B) Secretary Ladds moved to approve the application for the Certificate of Authorization for the Sole Proprietorship for Salvatore Associates subject to a name change removing the plural “Associates.” Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(C) Secretary Ladds moved to approve the application for the Certificate of Authorization for the Limited Liability Company for Fort Hill Architecture LLC subject to receipt of the Certificate of Good Standing from the Rhode Island Secretary of State’s office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(D) Secretary Ladds moved to approve the applications for the Certificates of Authorization for the Corporations for Architrave Group PC, Choo & Co Inc. and Frederick Taylor Associates – Architects P.C. subject to receipt of the Certificate of Good Standing from the Rhode Island Secretary of State’s office. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent.

Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

12/16/13, Page 4 of 6

(E) Based on response received to Board's notice of June 12, 2013, Secretary Ladds moved to continue for further review of the Directors and Officer for the application for Certificate of Authorization for the Corporation for CTA Inc. as submitted. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(F) Secretary Ladds moved to approve the applications for the Certificates of Authorization for the Corporations for Quinn Evans Architects, Inc., Soos and Associates, Inc. subject to receipt of the Certificate of Good Standing from the Rhode Island Secretary of State's office and Thompson, Ventulett, Stainback & Associates, Inc. for a change in responsible control. Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

(F) Secretary Ladds moved to continue the application for the Certificate of Authorization for the Corporation for Pasma Group Inc. as the Board is waiting for a response to its September 5, 2013 letter.

Member Grosvenor seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds and Member John K. Grosvenor. Member David L. DeQuattro were absent from this vote.

G. ADJOURNMENT

Chair Feibelman announced that the next Board meeting is scheduled for Monday, January 13, 2014, at 1:00 p.m. at the DBR, John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 68-1, Cranston, RI 02920. Member Grosvenor moved to adjourn the meeting at 12:25 p.m. Vice-Chair Prengaman seconded. Motion passed, 4-0, four (4) in favor, one (1) absent. Voting in favor were Chair Feibelman, Vice-Chair David R. Prengaman, Secretary Christian J. Ladds, and Member John K. Grosvenor. Member David L. DeQuattro was absent from this vote.

Respectfully submitted,

Christian J. Ladds, AIA

Secretary

CJL/dmb

• Posted on January 14, 2014 electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be

considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.

12/16/13, Page 5 of 6

CERTIFICATE OF AUTHORIZATION (COA) APPLICATIONS

Board Meeting of DECEMBER 16, 2013

SOLE PROPRIETORSHIPS

GEORGIA COUNDOUSSIAS - 0 - SC APPROVED – 12/16/13

MARK S. WACESCHA, NCARB - 0 - MN APPROVED – 12/16/13

CONTINUE – PENDING EXPLANATION OF

SALVATORE ASSOCIATES - 0 - MA PLURAL – 11/18/13

CONTINUE – PENDING NAME CHANGE

OF PLURAL – 12/16/13

LIMITED LIABILITY COMPANIES

FORT HILL ARCHITECTURE LLC - 0 - MA APPROVED – 12/16/13

LIMITED LIABILITY PARTNERSHIP

CORPORATIONS

ARCHITRAVE GROUP PC - 0 - NJ APPROVED – 12/16/13

CHOO & CO INC. - 0 - MA APPROVED – 12/16/13

CTA, INC. - 0 - MT CONTINUE – 04/08/13

**CORRECT INFORMATION IN THE
POSITION TITLE COLUMN AND LIST ONLY
DIRECTORS AND OFFICERS AND UNDER
PROFESSION LIST THE ARCHITECTS AND
ENGINEERS STATE OF LICENSURE AND
LICENSE NUMBER**

**CONTINUE – 05/13/13 - NOTIFY PERSON
IN RESPONSIBLE CONTROL MUST BE A
DIRECTOR AND/OR OFFICER.**

**BD NOTIFIED VIA TELEPHONE – PERSON
LISTED IS NOT A DIRECTOR OR OFFICER**

- CONTINUE 06/10/13 – CONTINUE – 07/08/13

CONTINUE – 09/09/13 – CONTINUE – 10/21/13

DENIED WITHOUT PREJUDICE – 11/18/13 –

DUE TO LACK OF GOOD STANDING

SUBMITTAL FROM THE RI SECRETARY OF STATE.

CORRECTED APPL REC'D – BD READY.

APPL REC'D – CONTINUE FOR REVIEW-

12/16/13

FREDERICK TAYLOR ASSOCIATES –

ARCHITECTS P.C. - 0 - NY APPROVED – 12/16/13

**CONTINUE – 07/08/13 – REQUEST HOW TO
PASMA GROUP INC. - 0 - IL COMPLY WITH 2/3RD – 1/3RD
CONTINUE – 09/09/13 – CONTINUE – 10/21/13
CONTINUE – 11/18/13
CONTINUE – 12/16/13**

QUINN EVANS ARCHITECTS, INC. - 0 - MI APPROVED – 12/16/13

SOOS AND ASSOCIATES, INC. - 0 - IL APPROVED – 12/16/13

**THOMPSON, VENTULETT, STAINBACK &
ASSOCIATES, INC. - ARCHITECTS - 0 - GA APPROVED – 12/16/13
#A-14,177 – Change in Responsible Control
Removed: Michael H. Ezell (3951)
Added: Roger L. Neuenschwander (4546)
12/16/13**

Page 6 of 6